

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

THIS PAGE BLANK (USPTO)

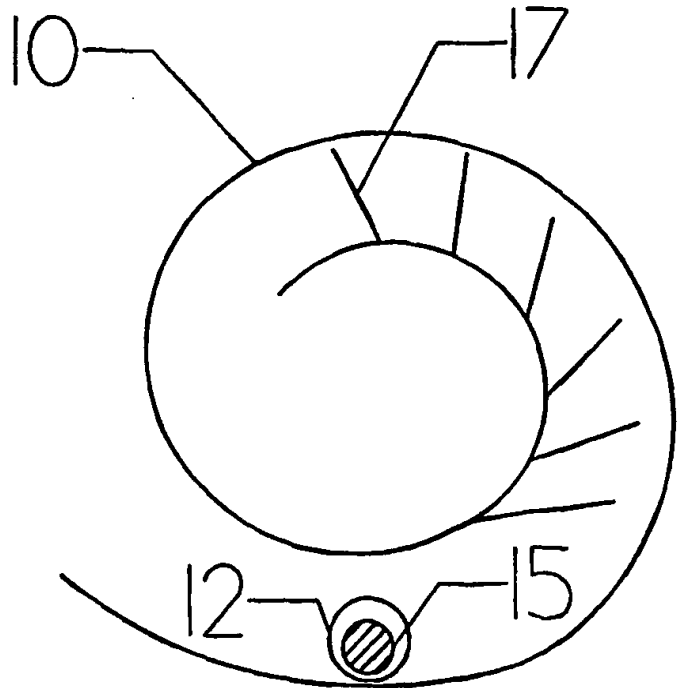
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : A61F 2/06	A1	(11) International Publication Number: WO 95/02377 (43) International Publication Date: 26 January 1995 (26.01.95)
(21) International Application Number: PCT/US94/07741 (22) International Filing Date: 12 July 1994 (12.07.94) (30) Priority Data: 091,162 13 July 1993 (13.07.93) US (71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US]; One SciMed Place, Maple Grove, MN 55311 (US). (72) Inventor: PETERS, Jeffrey, J.; 3125 Noble Avenue North, Golden Valley, MN 55422 (US). (74) Agent: ARRETT, Oliver, F.; Vidas, Arrett & Steinkraus, 1540 Kinnard Financial Center, 920 2nd Avenue South, Minneapolis, MN 55402-4014 (US).	(81) Designated States: JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.	

(54) Title: SELECTIVELY EXPANDABLE, RETRACTABLE AND REMOVABLE STENT

(57) Abstract

An improved stent apparatus for intraluminal use in the body of an animal. The apparatus is of resilient material disposed in a tubular configuration (10) about a central axis, which carries ratchet apparatus in the form of catch (17) and latch (15) apparatus having a selectively removable latch (15). The stent is expanded through the use of an angioplasty balloon to any selected one of a plurality of dilated positions. As the stent expands, the catches (17) come into contact with the latch (15) to prevent retraction of the stent apparatus. The latch (15) communicates with a point external to the lumen, and is selectively removable from contact with the catches (17) to enable the stent apparatus to return to its original diameter.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

SELECTIVELY EXPANDABLE, RETRACTABLE AND REMOVABLE STENT

5

BACKGROUND OF THE INVENTION

1. Field of the Invention - The present invention relates generally to medical devices, and more particularly to medical devices intended for use within the body of an animal, and still more particularly to a stent and/or perfusion apparatus
10 for selective intraluminal insertion and expansion. The apparatus of this invention provides an intraluminal stent that is, when used with a proper expansion device, selectively expandable, retractable and removable.

15 2. Description of the Prior Art - The use of stents as intraluminal expansion and perfusion apparatus is known to those of skill in the art. Many such devices are known as are the materials and methods for making them, and various apparatus useful in the placement of the stent in the lumen or
20 vessel when desired.

U.S. Patent No. 4,733,665, issued March 29, 1988 to J. C. Palmaz describes an expandable stent for placement in the blood vessel of an animal. The Palmaz teaching is for a wire mesh stent that is expandable through use of an angioplasty
25 balloon. The stent is to be used as a permanent graft.

U. S. Patent No. 5,007,926 issued April 16, 1991 to G. Derbyshire describes another stent adapted to be expanded by

a balloon catheter. This stent includes ratchet means for allowing the selection of an expanded diameter for the stent. Derbyshire teaches that this stent is removable, but to do so the stent must be redilated to release the ratchet catch, and
5 then a forceps is applied to remove the device.

U.S. Patent No. 4,720,207, issued April 26, 1988 to J.W. Kreamer also teaches a stent device selectively expandable by a balloon catheter which includes a retaining ledge on its inside wall that catches the edge of the expanding stent to
10 hold it in a dilated position. There is no teaching in Kreamer of a means for retraction of the device once it has been dilated to a catch position.

U.S. Patent Nos. 4,183,102, 4,693,249 and 4,877,030 all teach stent devices that are selectively expandable within a
15 duct or vessel and which have some form of catch to hold in the expanded position. These devices are limited to a single catch expansion position and there are no means taught for the selective and simple removal of the stents.

20

SUMMARY OF THE INVENTION

The present invention overcomes the disadvantages found in the prior art by providing stent apparatus that can be selectively expanded to a plurality of dilated diameters within the lumen of a body, and retracted back to its original
25 diameter and removed from the lumen with comparative ease.

BRIEF DESCRIPTION OF THE DRAWINGS

Other objects and many of the attendant advantages of the present invention will be readily appreciated as the same becomes better understood by reference to the following detailed description when considered in connection with the accompanying drawings, in which like reference numerals designate like parts throughout the figures thereof and wherein:

10 FIG. 1 is a plan view showing a first preferred embodiment of the stent of this invention including the ratchet or catch means and the means for placing or removing the stent device;

15 FIG. 2 is a side plan view of the apparatus of FIG. 1 showing the stent apparatus of this invention in its initial or retracted position;

FIG. 3 is a view the same as FIG. 2 showing the apparatus of this invention in one of its expanded positions;

20 FIGS. 4a-4c are top plan views showing the construction stages of the stent apparatus of this invention;

FIG. 5 is a perspective view of the apparatus of this invention; and,

25 Fig. 6 is a view similar to Figs. 2 and 3 but concerns a second preferred embodiment of the apparatus of this invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring first to FIG. 1 there is shown a stent formed by a generally rectangular member 10. Member 10 is preferably made of a resilient, thermoplastic or thermoset material, substantially inert to body fluids and tissue. Also shown are two columns of flexible tabs or catches 17 and 18 which form a detent device for coacting with a latch device 15. Preferably, the two columns of tabs 17 and 18 are formed by cutting or punching them out of member 10. Latch 15, in the preferred embodiment, is a length of stainless steel wire slidably mounted in a latch holding device, here shown as three sections of tubing 12, 13 and 14, secured to member 10. Section 12 is preferably of sufficient length to extend out of the body after the stent apparatus has been placed in any selected lumen. Thus, when the stent has been used as desired and retracted to its original diameter, in a manner described below, it can be removed from the lumen or vessel by pulling on tube section 12. One acceptable length of section 12 for this preferred embodiment has been found to be approximately 140 centimeters. Preferably, latch 15 is sufficiently longer than tube section 12 so that it extends therefrom thus facilitating the movement of latch 15 alone, when it is desired to leave the stent in place for a further length of time.

25

Referring now to FIG.2, member 10 is shown in its fully retracted or minimum diameter form. It can be seen that member 10 forms a generally tubular shape that is coiled about an imaginary inner transverse axis. Tubular section 12 is shown mounted on an inner wall of member 10, and latch wire 15 is shown in place within tube 12. It can also be seen that the column of flexible tabs 17 that sit on an outer wall of member 10 are carried by the coiling of member 10 to a point where none of the tabs 17 are in contact with latch wire 15.

10 In operation, another device such as an angioplasty balloon catheter (not shown) is placed within the lumen formed by member 10 along its transverse axis, and as the balloon is inflated the resulting pressure on the inner wall of the stent formed by member 10 will cause stent 10 to uncoil.

Referring now to FIG. 3, member 10 is shown in one of its expanded or dilated positions. As is clear from the view of FIG. 3, one of the flexible tabs or catches of column 17 has been moved into contact with latch wire 15. A corresponding
5 tab or catch of column 18 (not seen) will also be in contact with latch 15. (The outline of tube sections 12, 13 and 14 have been removed from FIG. 3 for purposes of clarity.) In this position, for example, the balloon catheter could be removed from the lumen of stent 10 but the resilient member 10
10 would retain this expanded position due to the contact of the catches of columns 17 and 18 with latch wire 15.

Referring again to FIG. 1 in conjunction with FIG. 3, it can be seen that the catch of column 17 contacts wire 15 at the spaced interval between tube sections 12 and 13, while the
15 catch of column 18 contacts wire 15 at the space between tube sections 13 and 14. It will also be apparent from the above described drawings that a selection of six expanded or dilated positions or diameters of member 10 are available, directly related to the number of tabs or catches in each of columns 17
20 and 18. It is within the scope of this invention to provide only a single column of catches, or even only one catch, in which case just two tube sections of tube would be mounted on the inner wall of member 10 in spaced relation, to form the latch mount device for wire 15.

25 With reference again to FIGS. 1-3, it will be apparent that when the stent of member 10 of this invention is in place

within a lumen or vessel, and it has been expanded to one of its dilated positions and the balloon catheter has been removed, the stent will remain in its expanded position to apply force to the walls of the lumen or vessel to hold it open for any desired medical purpose, such as relief of spasm, dissection or arterial passive perfusion. Member 10 can be placed into the lumen or vessel by being carried by another device such as a balloon catheter, or can be placed in a lumen through the use of pressure on elongated tube section 12.

10 Wire 15 can be slide into place within section 12 to add rigidity during placement of the stent of this invention, or can be removed during placement to add flexibility to the device.

As shown in FIGS. 1-3, when desired, latch 15 can be fully or partially retracted to take it out of contact with the catches of columns 17 and 18, and the resilience of member 10 will then cause it to return to its original, heat set coiled position, giving the stent a minimum diameter to facilitate removal of the stent from the lumen or vessel. Or,

20 if desired, the retracted member 10 can selectively be moved to another point within the lumen or vessel, the latch wire 15 can be returned to its mount formed by tube sections 12-14, and the stent can be selectively expanded once again to any of the six available dilated positions.

Referring now to FIGS. 4a, 4b and 4c, a preferred method for manufacturing the stent of this invention is revealed. In FIG. 4a there is shown generally rectangular member 10 preferably of a heat settable or curable thermoplastic or thermoset or other resilient biocompatible material. In this preferred embodiment, a pair of columns 17 and 18 of six tabs each are cut or punched from the material of member 10. As seen in FIG. 4b, the tabs of columns 17 and 18 are cut to cause slots in member 10, and in this view the flexible tabs extend away from the viewer. FIG. 4c discloses the next step in construction of the stent of this invention, which is the placement of a latch mounting device in the form of tube sections 12, 13 and 14. Tube sections 12-14 are mounted, for example by bonding, on a side of member 10 opposite to the direction in which the tabs of columns 17 and 18 extend, and in spaced relation such that each of the spaces between sections 12 and 13, and 13 and 14 align, respectively, with columns 17 and 18. Tube section 12 is preferably of sufficient length so as to extend out of the lumen, duct or vessel and thence out of the body into which the member 10 is to be placed. It has been found that a length of about 140 cm. for tube section 12 will provide the desired extension beyond the body after insertion of the stent. When the tube sections 12-14 have been mounted on member 10, and the two columns 17 and 18 of six tabs each have been formed from

member 10, the entire apparatus shown in FIG. 4c is heat set to cause it to take the form of a generally tubular coil about a transverse axis as shown in FIG. 2.

Reference is now made to FIG. 5 which shows in perspective the apparatus described above following the heat setting process, and shown in the plan views of FIGS. 2 and 4c. Here it can be seen how tube 12 extends from the coil-
5 like shape of member 10.

As described above, a latch wire 15 (not shown in Fig. 5) of a corrosion resistant material such as stainless steel, may then be passed through tube sections 12-14 to act as a latch in conjunction with the flexible tabs or catches of columns 17
10 and 18.

Referring now to Fig. 6, there is shown a plan view of a second preferred embodiment of the apparatus of this invention. In this second embodiment, latch wire 15 and tabs or catches 17 are each on opposite sides of member 10 than in the above described embodiment. Thus latch 15 is shown on the outside of member 10, while catches 17 are on the inside. (Mounting means for latch 10 are provided as in the above described embodiment, but are not shown in Fig. 6 for purposes of clarity.) The effective result is that as member 10 expands or uncoils, latch wire 15 will be carried to tabs 17, rather than vice-versa. In all other respects the embodiment of Fig. 6 works in the same manner as the embodiment of Figs. 1-5.

15 The primary advantages of this second embodiment are: it enables the use of a smaller profile for the stent apparatus of this invention; and, there is little chance of tabs 17 causing a trauma in the walls of the lumen where the stent is placed, because tabs 17 will remain within the coil formed by member 10.

20 Having thus described the preferred embodiments of the present invention, those of skill in the art will readily appreciate the other useful embodiments within the scope of the claims hereto attached.

I CLAIM:

CLAIMS

1. Stent apparatus comprising:
 - a. a resilient member disposed in a generally tubular, coiled manner about a transverse axis, having a minimum diameter when in a relaxed coiled position and being selectively expandable to one or more increasingly greater diameters;
 - b. said member having a first wall and a second wall; and,
 - c. a latch device on said first wall and a detent device on said second wall, such that expansion of said resilient member from said relaxed coiled position causes said detent device and said latch device to make contact to hold said member in an expanded position.
2. The apparatus of claim 1 including a latch mounting device for removably mounting said latch device on said first wall such that removal of said latch device causes said resilient member to retract to said relaxed coiled position.

3. The apparatus of claim 1 in which said detent device comprises at least one row of two or more catches aligned parallel to said transverse axis.

4. The apparatus of claim 2 in which said detent device comprises at least one row of two or more catches aligned parallel to said transverse axis.

5. The apparatus of claim 3 including a plurality of said rows of two or more catches, each of said rows being parallel to said transverse axis and to one another and said catches being aligned to form parallel columns on said second wall.

6. The apparatus of claim 4 including a plurality of said rows of two or more catches, each of said rows being parallel to said transverse axis and to one another and said catches being aligned to form parallel columns on said second wall.

7. The apparatus of claim 2 in which said latch mounting device comprises a plurality of tubular members mounted on said first wall in spaced relation and along a central axis parallel to said transverse axis, and said latch device comprises a latch bar slidably mounted in said tubular members.

8. The apparatus of claim 3 in which said latch mounting device comprises a plurality of tubular members mounted on said first wall in spaced relation and along a central axis parallel to said transverse axis, and said latch device comprises a latch bar slidably mounted in said tubular member.

9. The apparatus of claim 4 in which said latch mounting device comprises a plurality of tubular members mounted on said first wall in spaced relation and along a central axis parallel to said transverse axis, and said latch device comprises a latch bar slidably mounted in said tubular members.

10. The apparatus of claim 5 in which said latch mounting device comprises a plurality of tubular members mounted on said first wall in spaced relation and along a central axis parallel to said transverse axis, and said latch device comprises a latch bar slidably mounted in said tubular members.

11. The apparatus of claim 6 in which said latch mounting device comprises a plurality of tubular members mounted on said first wall in spaced relation and along a central axis parallel to said transverse axis, and said latch device

comprises a latch bar slidably mounted in said tubular members.

12. The apparatus of claim 7, 8, 9, 10 or 11 in which said spaces between said tubular members align with said columns formed by said catches.

13. The apparatus of claim 7, 8, 9, 10 or 11 in which said stent apparatus comprises an interluminal stent, at least one of said tubular members extends beyond said first wall of said resilient member to a point external to said lumen, and said latch bar is slidably mounted within and through said extended tubular member.

14. The apparatus of claim 3, 4, 5, 6, 7, 8, 9, 10 or 11 in which each of said catches is formed from the body of said resilient member.

15. The apparatus of claim 1, 2, 3, 4, 5 or 6 including a retraction device connected to said resilient member for moving and removing said member after it has been placed in a body.

16. The apparatus of claim 1, 2, 3, 4, 5 or 6 including a retraction device connected to said resilient member for moving and removing said member after it has been placed in a

body, said retraction device comprising an elongated element for extending from a body after said member has been placed in the body.

17. The apparatus of claim 2, 4, or 6 including a retraction device connected to said resilient member for moving and removing said member after it has been placed in a body, said retraction device comprising an elongated tubular element for extending from a body after said member has been placed in the body, and said tubular element comprising at least a portion of said latch mounting device.

18. The apparatus of claim 7, 8, 9, 10 or 11 including a retraction device connected to said resilient member for moving and removing said member after it has been placed in a body, said retraction device comprising an elongated tubular element for extending from a body after said member has been placed in the body, and said tubular element comprising one of said plurality of tubular members of said latch mounting device.

19. The method of making a medical apparatus comprising the steps of:

- a. providing a base member of a biocompatible, resilient and heat settable material;

- b. mounting at least one catch member on a first side of said base member;
- c. mounting a latch support on a second side of said base member; and,
- d. heat setting said base member into a generally tubular shape coiled about its transverse central axis.

20. The method of claim 19 including the step of mounting a plurality of said catch members on said first side of said base member in one or more columns.

21. The method of claim 19 in which the step of mounting said plurality of catch members comprises the step of cutting a plurality of flexible tabs from the material of said base member.

22. The method of claim 19, 20 or 21 including the step of removably mounting a latch member in said latch support.

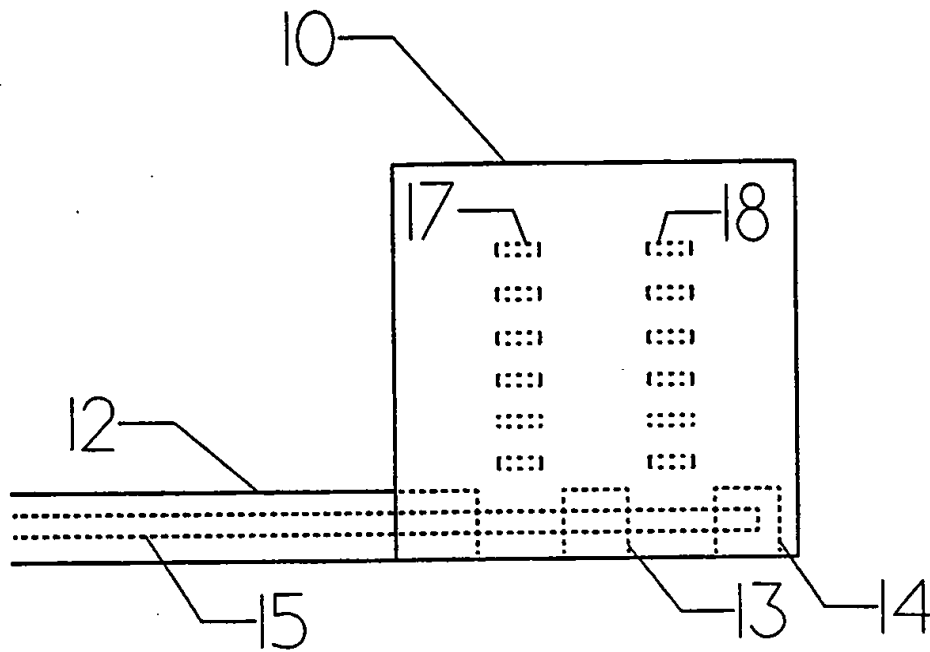


FIG. 1

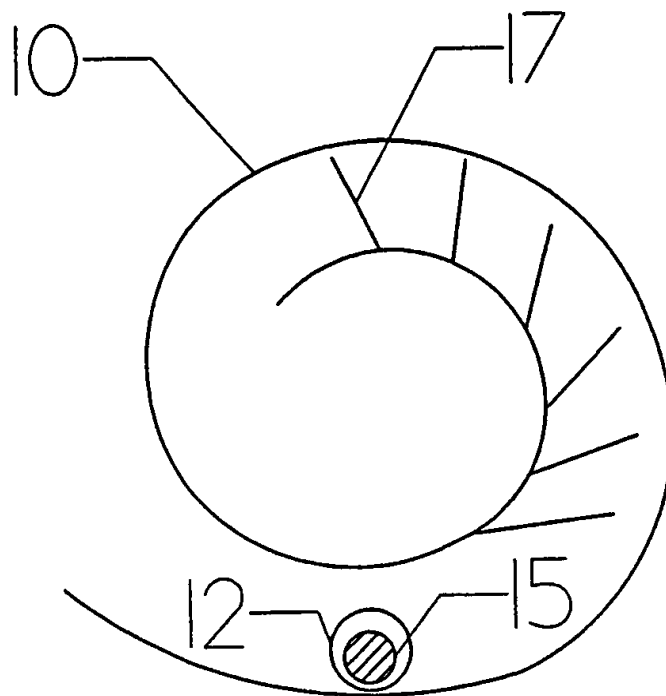


FIG. 2

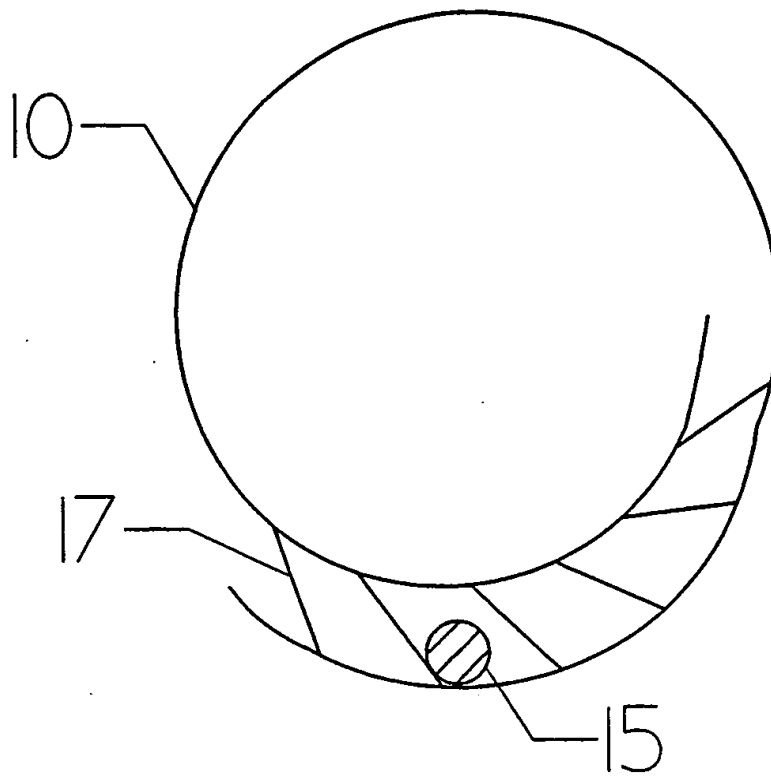


FIG. 3

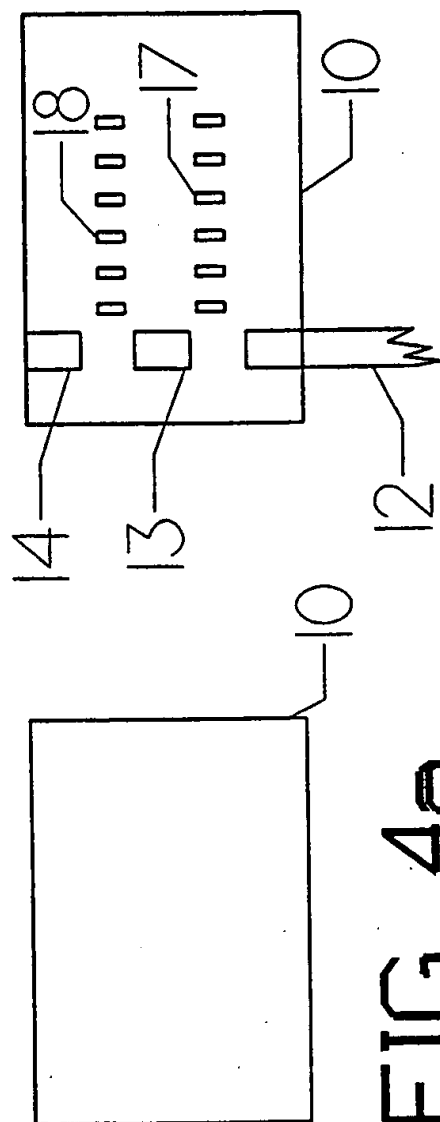


FIG. 4a

FIG. 4c

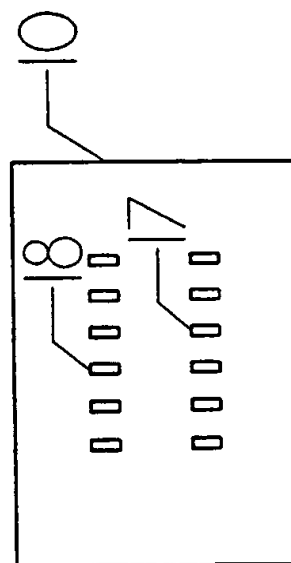
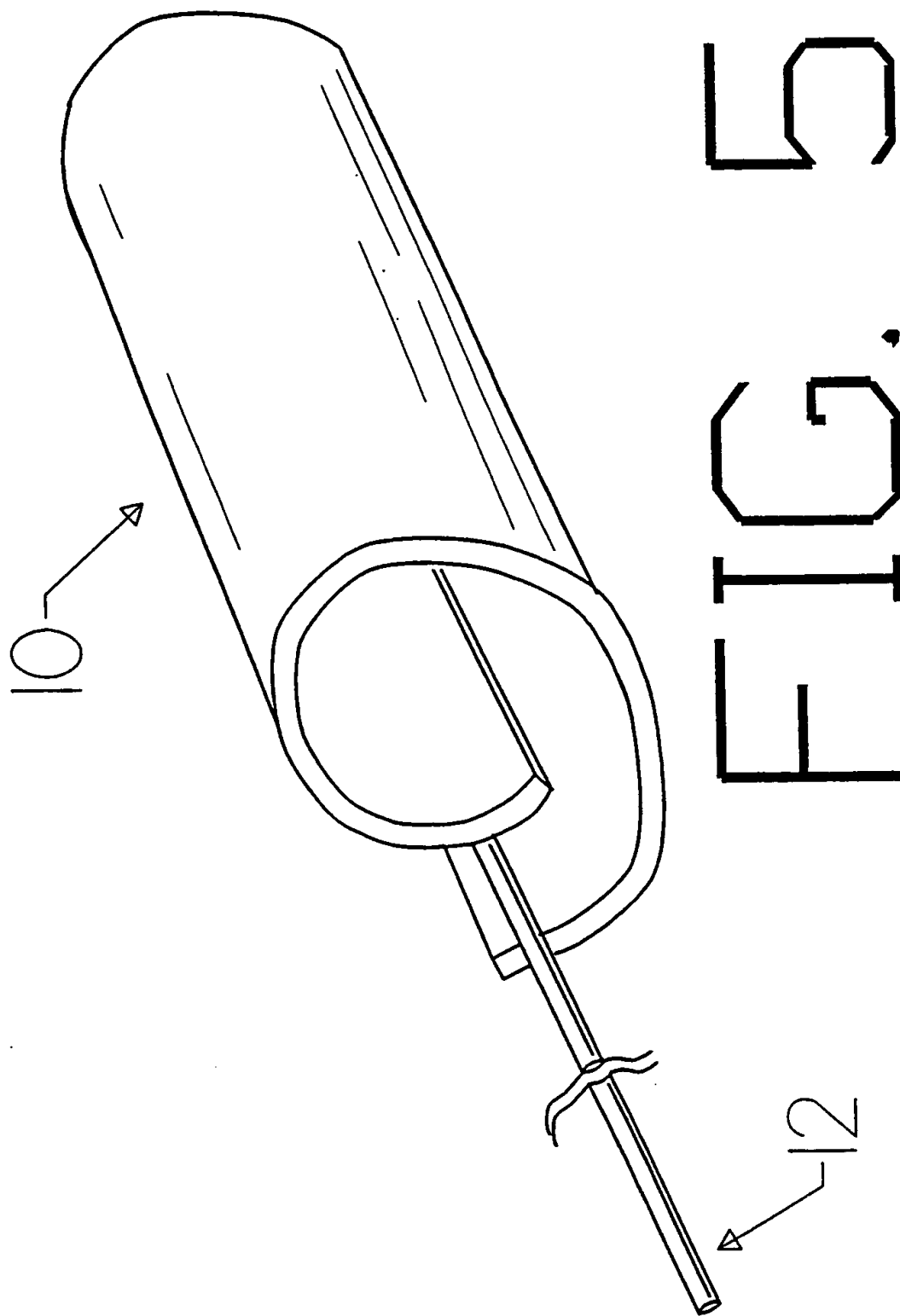


FIG. 4b



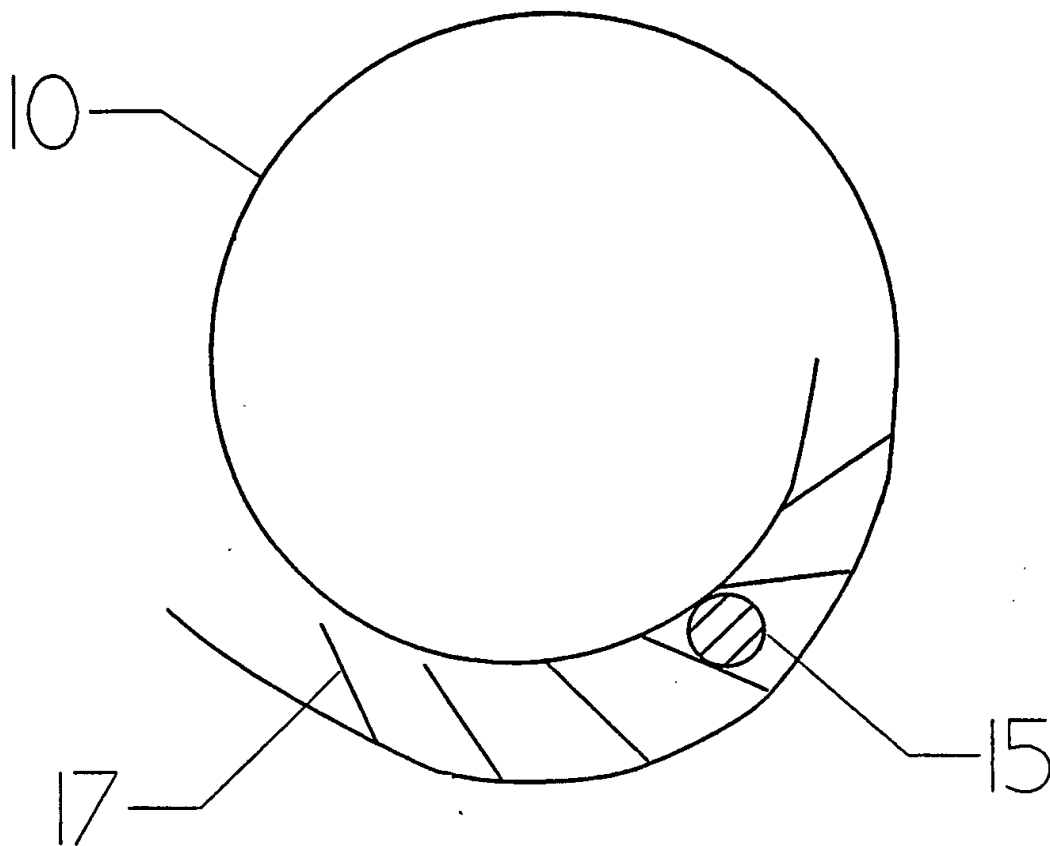


Fig. 6

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :A61F 02/06

US CL :623/1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/151, 153, 155, 156, 191, 192, 194, 195, 198; 623/1, 11, 12, 901

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

NONE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X. ---, P Y	US, A, 5,266,073, (WALL), 30 November 1993. See Fig. 2, and column 3, lines 7-18.	1 ----- 3, 5, 14, 19-21

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z*	document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means		
P document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

19 AUGUST 1994

Date of mailing of the international search report

SEP 27 1994

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

RANDY SHAY

Telephone No. (703) 305-2907